

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792-4686

First Named Inventor or Application Identifier

Mamoru Shinohara

Express Mail Label No: EL654782562US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 30
2. ☒ Drawing(s) (35USC 113) Total Pages 3
3. ☒ Declaration and Power of Attorney Total Pages 4
  - a. ☒ Unexecuted(original or copy)
  - b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
  - i. ☐ [Note Box 4 Below]  
**DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
12. ☒ Certified copy of Japanese priority application No. P11-323051 filed November 12, 1999

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS 20	20		18.00	0.00
INDEPENDENT CLAIMS 03	2		80.00	0.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				0.00
			TOTAL FEE	\$710.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger  
SONNENSCHN NATH & ROSENTHAL  
P.O. Box #061080  
Wacker Drive Station, Sears Tower  
Chicago, IL 60606-1080  
Customer ID #26263  
Telephone (312) 876-8000 - Fax (312) 876-7934

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: November 13, 2000

EXPRESS MAIL #EL654782562US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: EL654782562US

Date of Deposit: November 13, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Mamoru Shinohara

Attorney Docket No. 09792909-4686

\$710.00 Filing Fee (20 claims-2 independent)

Drawings (3 sheets - Figs. 1-5B)

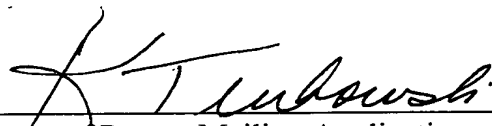
Unexecuted Declaration

Letter Under Rule 37

Preliminary Amendment

Certified copy of Japanese priority document No. P11-323051

Return postcard

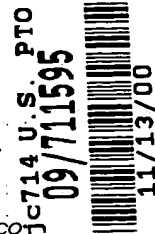
  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees

**Sonnenschein**  
SONNENSCHN NATH & ROSENTHAL

David R. Metzger  
312/876-2578

8000 Sears Tower  
233 South Wacker Drive  
Chicago, IL 60606  
312.876.8000  
312.876.7934 fax  
www.sonnenschein.com

Chicago  
Kansas City  
Los Angeles  
New York  
San Francisco  
St. Louis  
Washington, D.C.



November 13, 2000

Assistant Commissioner of Patents  
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled  
"SEMICONDUCTOR APPARATUS FOR FINGERPRINT RECOGNITION"  
Applicant(s): Mamoru Shinohara  
Attorney Docket No. 09792909-4686

---

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 20 claims (2 independent), 3 sheets of drawings (Figs. 1-5B) and \$710.00 filing fee on behalf of

MAMORU SHINOHARA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:

A handwritten signature in black ink, appearing to read "David R. Metzger".  
David R. Metzger

Enclosures